Docket No.

241959US0/hc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Masaki TAKAI, et al.

SERIAL NO:

10/649,767

GAU:

FILED:

August 28, 2003

EXAMINER:

FOR:

METHOD FOR PRODUCING ALLYL COMPOUND

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND

MAIER & NEULTADT, P.O.

Norman F. Ob

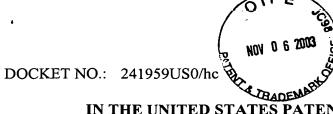
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STATEMENT OF RELEVANCY

References AS through AW on Form 1449 are discussed in the specification.

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SHEET

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 2241959USO RADEMARY OF		SERIAL NO. 10/649,767			
				APPLICANT				
LISTOF	KEFE	RENCES CITED BY API	PLICANT	Masaki TAKAI, et al.				
				FILING DATE		GROUP		
				August 28, 2003	<u> </u>			
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	_AA	6,300,515	10/09/2001	M. RETBOLL, et al.				
	AB							
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FOREIGN PATENT DOCUMENTS								
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	AP							
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AS	C. GOUX, et al., Organometallics, vol. 14, no. 10, pages 4585-4593, "STEREO- AND REGIOSELECTIVITY IN PALLADIUM-CATALYZED ALLYLIC ETHERIFICATION", 1995						
	AT	T. IKARIYA, et al., Japan Society for the Promotion of Science, the 116 th Committee Meeting, pages 46-49, "DIRECT TRANSFORMATION OF ALLYLIC ALCOHOLS CATALYZED BY PALLADIUM-PHOSPHITE COMPLEXES", 2002 (with English translation)						
	AU	T. KODA, et al., Graduate School of Science and Engineering, Tokyo Institute of Technology, CREST, vol. 79, no. 2, page 1194, "DEHYDRATIVE ETHERIFICATION OF ALLYL ALCOHOLS CATALYZED BY PALLADIUM-PHOSPHITE COMPLEX", 2001 (with English translation)						
	AV	C. THOREY, et al., Tetrahedron Letters, vol. 36, no. 31, pages 5527-5530, "DIASTEREOSELECTIVE SYNTHESIS OF VINYLMORPHOLINES BY PALLADIUM-CATALYZED TANDEM ALLYLIC SUBSTITUTIONS USING ENANTIOPURE AMINOALCOHOLS AS BIFUNCTIONAL NUCLEOPHILES", 1995						
	AW	B. M. TROST, et al., J. Am. Chem. Soc., pages 1732-1740, "TOTAL SYNTHESIS OF (±)- AND (+)- VALIENAMINE VIA A STRATEGY DERIVED FROM NEW PALLADIUM-CATALYZED REACTIONS", 1998 Additional References sheet(s) attached						
Examiner					Date Cons	idered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in								
conformance and not considered. Include copy of this form with next communication to applicant.								